

Exhibit to Agenda Item #6

Board Policy Committee and Special SMUD Board of Directors Meeting
Wednesday, June 20, 2018, scheduled to begin at 5:30 p.m.
Customer Service Center, Rubicon Room

GP-10 Board Training and Orientation

6 Board Members responded to the survey

The Board will invest in training, development and orientation to assure excellence in governance. Specifically: a) Board members shall be offered and encouraged to receive training in the skills of effective governance, communication and decision making.

Compliance Scores:

High 4

Med 2

Low

Comment:

We know we can get this kind of training but we don't talk about it as a group. - BS

b) The Board president and Board committee chairs shall be offered and encouraged to receive training in the facilitation of meetings.

Compliance Scores:

High 3

Med 3

Low

Comments:

We know we can get this kind of training but we don't talk about it as a group. - BS

I don't recall having this offered. - DT

- c) At least every two years, Board members shall receive training on sexual harassment prevention and laws related to ethics (conflict of interest requirements, government transparency, open and fair government processes). Training shall be made available annually.**
- d) New Board members shall receive an orientation in the SMUD's governance policies.**
- e) Board members shall be offered and encouraged to receive regular training with respect to understanding trends and developments in public power and the energy industry.**

Compliance Scores:

High 6

Med

Low

GP-15 Board Travel

5 Board Members responded to the survey

Board members shall have the opportunity to attend conferences, meetings, training, and various activities that are appropriate to their work as Directors and provide value to SMUD. As part of this policy:

- a) Each Board member shall have an annual budget for travel and training purposes of \$15,000, adjusted annually for inflation based on the Travel Price Index (July-June) and rounded to the nearest hundred dollars. The training budget shall include attendance at community functions.**
- b) If a Board member exceeds his or her annual budget, then he or she shall be responsible for reimbursing SMUD within 30 days the difference between the member's annual budget and the actual expenditure.**

Compliance Scores:

High 5

Med

Low

- c) The Board shall approve its travel and training budget annually.**
- d) Budgeted travel and training funds shall expire at the end of each calendar year with no rollover option.**

Compliance Scores:

High 5

Med

Low

Comments:

Willing to consider funds rolling over one year. - DT

- e) The Board travel and training budget shall be managed by the Special Assistant to the Board as part of the Board Office budget.**
- f) Requests for travel, training and events in excess of \$500 shall be accompanied by a written justification of the benefits to SMUD and consistency with Board policy and submitted to the Board Special Assistant thirty days prior to the event. Such justification will include the estimated costs, the percentage to be paid by SMUD, and whether the expenditure will span multiple years.**
- i) If SMUD representation is requested with shorter than thirty-day notice and travel is required, the Board Special Assistant shall notify Board members of the pending trip.**
- ii) Written travel and training justifications shall be distributed to all Board members in the Board packet for purposes of transparency and oversight, along with a status report on the Board's travel and training budget and individual directors' expenditures.**

Compliance Scores:

High 5

Med

Low

g) Board members shall submit all relevant travel and training receipts to Board Office staff within two weeks for reconciliation.

Compliance Scores:

High 5

Med

Low

Comments:

Appreciate the reminders from Board staff. – GS

h) Board members shall publicly report the results of their travel and training at a Board meeting.

i) New Board members shall receive an orientation in the Board's travel and training policy.

Compliance Scores:

High 5

Med

Low