

# Exhibit to Agenda Item #3

Board Policy Committee and Special SMUD Board of Directors Meeting  
Wednesday, June 5, 2019, scheduled to begin at 5:30 p.m.  
Customer Service Center, Rubicon Room

## **GP-10 Board Orientation and Training**

6 Board Members responded to the survey

The Board will invest in training, development and orientation to assure excellence in governance. Specifically: a) Board members shall be offered and encouraged to receive training in the skills of effective governance, communication and decision making.

Compliance Scores:

High 6

Med

Low

b) The Board president and Board committee chairs shall be offered and encouraged to receive training in the facilitation of meetings.

Compliance Scores:

High 3

Med 3

Low

c) At least every two years, Board members shall receive training on sexual harassment prevention and laws related to ethics (conflict of interest requirements, government transparency, open and fair government processes). Training shall be made available annually.

Compliance Scores:

High 4

Med 1

Low 1

Comments:

I'd like to see Board members take this training yearly. It would be great if Board members could sit in on training related to unconscious bias if/when its offered to employees. - RH

I dont know. We should get an annual report on compliance data. - DT

d) New Board members shall receive an orientation in the SMUD's governance policies.

Compliance Scores:

High 6

Med

Low

Comment:

Eric Douglas has been very helpful. He is a real asset to SMUD Board members. – RH

e) Board members shall be offered and encouraged to receive regular training with respect to understanding trends and developments in public power and the energy industry.

Compliance Scores:

High 6

Med

Low

Comment:

This has been very helpful in getting up to speed as a new Board member. Being able to attend conferences and workshops is critical in seeing the ever-changing market. - RH

## **GP-15 Board Travel**

6 Board Members responded to the survey

Board members shall have the opportunity to attend conferences, meetings, training, and various activities that are appropriate to their work as Directors and provide value to SMUD. As part of this policy:

a) Each Board member shall have an annual budget for travel and training purposes of \$15,000, adjusted annually for inflation based on the Travel Price Index (July-June) and rounded to the nearest hundred dollars. The training budget shall include attendance at community functions.

Compliance Scores:

High 6

Med

Low

b) If a Board member exceeds his or her annual budget, then he or she shall be responsible for reimbursing SMUD within 30 days the difference between the member's annual budget and the actual expenditure.

Compliance Scores:

High 6

Med

Low

Comment:

This should provide more flexibility. - BR

c) The Board shall approve its travel and training budget annually. d) Budgeted travel and training funds shall expire at the end of each calendar year with no rollover option. e) The Board travel and training budget shall be managed by the Special Assistant to the Board as part of the Board Office budget.

Compliance Scores:

High 6

Med

Low

f) Requests for travel, training and events in excess of \$500 shall be accompanied by a written justification of the benefits to SMUD and consistency with Board policy and submitted to the Board Special Assistant thirty days prior to the event. Such justification will include the estimated costs, the percentage to be paid by SMUD, and whether the expenditure will span multiple years. i) If SMUD representation is requested with shorter than thirty-day notice and travel is required, the Board Special Assistant shall notify Board members of the pending trip. ii) Written travel and training justifications shall be distributed to all Board members in the Board packet for purposes of transparency and oversight, along with a status report on the Board's travel and training budget and individual directors' expenditures.

Compliance Scores:

High 6

Med

Low

Comment:

Where's this? - BR

- g) Board members shall submit all relevant travel and training receipts to Board Office staff within two weeks for reconciliation.
- h) Board members shall publicly report the results of their travel and training at a Board meeting.
- i) New Board members shall receive an orientation in the Board's travel and training policy.

Compliance Scores:

High 6

Med

Low