



Electric Service Requirements

Residential Distributed Generation with Optional Energy Storage Systems

Engineering Specification T014

January 2020

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SMUD[®]

**ENGINEERING
SPECIFICATION**

No.	T014
	Page 1 of 21
REV. 5	DATE: 01-2020

CATEGORY

ELECTRIC SERVICE REQUIREMENTS

SUBJECT

**RESIDENTIAL DISTRIBUTED GENERATION (DG)
WITH OPTIONAL ENERGY STORAGE SYSTEMS (E.G., BATTERIES)**

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1. Overview

This document is intended to present the Sacramento Municipal Utility District's (SMUD's) requirements for the establishment of connecting Residential Distributed Generation (DG) to SMUD's electric grid with the option to also install Energy Storage System (ESS) devices (e.g., batteries). This document exists on SMUD.org – search for “Electric Service Requirements”.

If you are a Residential Customer served via our Downtown Secondary Network, in addition to the requirements set forth in this document, you will also have to abide by the requirements of SMUD's GP-001, *Distribution Generation (DG) Interconnection Requirements on the Downtown Secondary Network System*.

All references to inverter-based DG and/or ESS system sizes will be based upon total nameplate AC rating of the inverter(s).

The requirements presented here are necessary for SMUD to supply uniform, satisfactory, and safe electrical connections between the Customer's DG and/or ESS, and SMUD's electrical system. It is necessary that all written material (this text, as well as all of the notes on the drawings) be carefully read.

The National Electric Code (NEC) and the County / City Electrical Inspector for your area may have requirements for your generation system that are beyond what SMUD requires. Please check with your local Inspector to make sure your plans meet their requirements.

SAFETY FIRST!! All materials used, and all work performed, on a Customer's premise (with the exception of the meter), shall conform with requirements of the local inspection authority, the National Electric Code, and all applicable safety orders, rules, and regulations of the State of California. Customer service switchboard equipment shall meet SMUD and EUSERC (The Electric Utility Service Equipment Requirements Committee) requirements and be UL approved. No DG and/or ESS can be connected unless approved by the County / City Electrical Inspector for your area (also referred to as the Authority Having Jurisdiction or AHJ). In order to provide a safe interconnection between the Residential DG and/or ESS and SMUD's electrical system, a SMUD representative will also have to inspect and approve the DG and/or ESS installation.

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2. General Requirements for Service

Portions of Sections 3 through 9 below are modified from the 2015 edition of EUSERC - The Electric Utility Service Equipment Requirements Committee.

3. Definitions

- 3.1. **Combiner / Sub-Panel:** an electrical panel where one or more feeds from Distributed Generation sources can be combined into one circuit to the Power Production Meter. If the Combiner / Sub-Panel has more than six (6) breakers, an additional Visible Disconnect Device will have to be installed between the Combiner / Sub-Panel and the Power Production Meter (2017 NEC 230.70(A) for Service Conductors and 240.92(D)2 for Feeder Conductors). Further, the Combiner / Sub-Panel shall be sized to only accommodate the DG and/or ESS breakers. If a larger sized Combiner / Sub-Panel is used, then the panel shall be rendered unable to accept any additional breakers for unrelated loads. The allowed related loads can include tracking motors, cooling fans, monitoring and metering, etc. Note: Generation connected between the meter and the main (Option 2) may only include monitoring and metering per 2017 NEC 230.82.
- 3.2. **Critical Load Sub-Panel:** an electrical panel where customer essential loads are connected and which is served by an on-site Energy Storage System.
- 3.3. **DG (Distributed Generation):** Any type of Customer owned electric generator, static inverter, or generating facility that has the capability of being operated in parallel with SMUD's distribution system.
- 3.4. **Distribution System:** All electrical wires, equipment, and other facilities owned or provided by SMUD, including Interconnection Facilities, by which SMUD provides Distribution Service to a Customer.
- 3.5. **Energy Storage System:** a system that uses either chemical means (e.g., batteries) or mechanical means (e.g., flywheels) to store energy for later use. The system will include all necessary equipment necessary to convert the storage means into usable energy (e.g., wires, inverters, chargers, gearboxes, motor/gen set, etc.).
- 3.6. **EUSERC:** The Electric Utility Service Equipment Requirements Committee. Designation that metering equipment meets the requirements of the member utilities developed to promote safe and uniform electric service equipment requirements.
- 3.7. **Generating Facility:** All Generators, electrical wires, equipment, and other facilities, owned or provided by the facility owner, for the purpose of producing electric power.

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- 3.8. **Interactive Static Inverter:** A power electronic device that converts Direct Current (DC) power to Alternating Current (AC) by means of electronic switching. The only static inverters acceptable for the interconnection of Customer owned equipment with SMUD are those inverters that are designed to automatically separate from SMUD’s distribution system upon loss of voltage from SMUD and to reclose with SMUD only after SMUD’s voltage has been restored.
- 3.9. **Parallel Operation:** The simultaneous operation of a Generator with power delivered or received by SMUD while interconnected. Under SMUD’s Rate Policy and Procedures Manual, No. 11-01, “*Interconnection Guidelines*”, Parallel Operation includes only those generators that are so interconnected with the Distribution System for more than one second (60 cycles).
- 3.10. **Point of Common Coupling (PCC):** The transfer point for electricity between the electrical conductors of SMUD and the electrical conductors of the Generating Facility.
- 3.11. **Point of Interconnection (Delivery):** The electrical transfer point between a Generator or a Generating Facility and SMUD’s electrical system. This may or may not be coincident with the Point of Common Coupling.
- 3.12. **Power Production Meter (PM):** The meter located at the Generator panel, or otherwise located to record generation output without other unrelated Customer loads. Loads ancillary to the generation (e.g., solar tracker motors, engine cooling fans, pumps, etc.) are to be connected, to the extent practicable, so that these are metered with the generation by the Power Production Meter, i.e., the ancillary loads should be connected between the DG and the Power Production Meter. **All PM panels shall be of ring type.**
- 3.13. **Smart Contactor:** a device that will automatically disconnect an Energy Storage system from the host electric utility upon detection of the loss of voltage from the utility.
- 3.14. **Utility Service Meter:** The meter located in a Customer’s main electrical panel. This meter is capable of separately recording power flow into, and power flow out of, a Customer’s facility or premise.
- 3.15. **Visible Disconnect Device:** An electrical switching device that can separate the Generating Facility from SMUD’s Distribution System and is designed to allow visible verification that separation has been accomplished. This requirement can be met by opening the enclosure to observe the contact separation. It will be used by SMUD and the Customer to establish an open point when working on either the Power Production Meter or the DG and/or ESS system. With the door open, the air gap shall be visible at the trailing edge of the movable disconnect blades when the switch is in the open position. It will completely isolate the Customer’s generating facility from

SMUD's distribution grid. A Combiner / Sub-Panel may be substituted for a Visible Disconnect.

4. Abbreviations

AC = Alternating Current	ESR = Electric Service Requirement
AHJ = Authority Having Jurisdiction	LEC = Local Exchange Carrier
CEC = California Electric Code	NEC = 2017 National Electric Code
CL = Critical Loads	NEM = Net Energy Metering
DC = Direct Current	PCC = Point of Common Coupling
DG = Distributed Generation	PM = Power Production Meter
ESS = Energy Storage System	VDD = Visible Disconnect Device

5. References – Latest Editions, Errata, Corrections, Bulletins, and Amendments

All Customer equipment shall conform to nationally recognized standards and recommended practices. These include, but are not limited to the following:

- 5.1. California Electrical Code (CEC)
- 5.2. IEEE 519 – Recommended Practice and Requirements for Harmonic Control in Electrical Power Systems
- 5.3. IEEE 929 – Recommended Practice for Utility Interface of Photovoltaic (PV) Systems
- 5.4. IEEE 1547 – Standard for Interconnecting Distributed Resources with Electric Power Systems
- 5.5. IEEE 1547.1 – Standard for Conformance Test Procedures for Equipment Interconnecting Distributed Resources with Electric Power Systems
- 5.6. NEMA C84.1 - Electric Power Systems and Equipment-Voltage Ratings (60 Hertz) {formerly ANSI C84.1}
- 5.7. NFPA 70 – 2017 National Electrical Code (NEC), especially Articles 690, 705, 706, 708, and 710.
- 5.8. OSHA 1910.145(F)(7)
- 5.9. UL 98 – UL Standard for Safety Enclosed and Dead-Front Switches
- 5.10. UL 1741 – UL Standard for Safety Inverters, Converters, Controllers and Interconnection System Equipment for Use with Distributed Energy Resources

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6. Design Review and Approval

6.1. Prior to *APPROVAL* of Customer interconnection facilities, the Customer shall submit a:

6.1.1. Distributed Generation (DG) and/or Energy Storage System (ESS) interconnection on-line application for SMUD's review and written approval,

6.1.2. An electrical one-line or three-line diagram (Note: for retrofits, the SMUD meter number for the utility service meter(s) is/are required on diagram),

6.1.3. A site plan/layout showing the location of all electrical equipment from the inverter (including solar equipment or other generation equipment to be listed) and/or ESS back to the Main electric service panel.

6.1.4. ***SMUD will not be responsible for the costs incurred by the Customer for the relocation or replacement of any equipment installed by the Customer prior to SMUD's approval.***

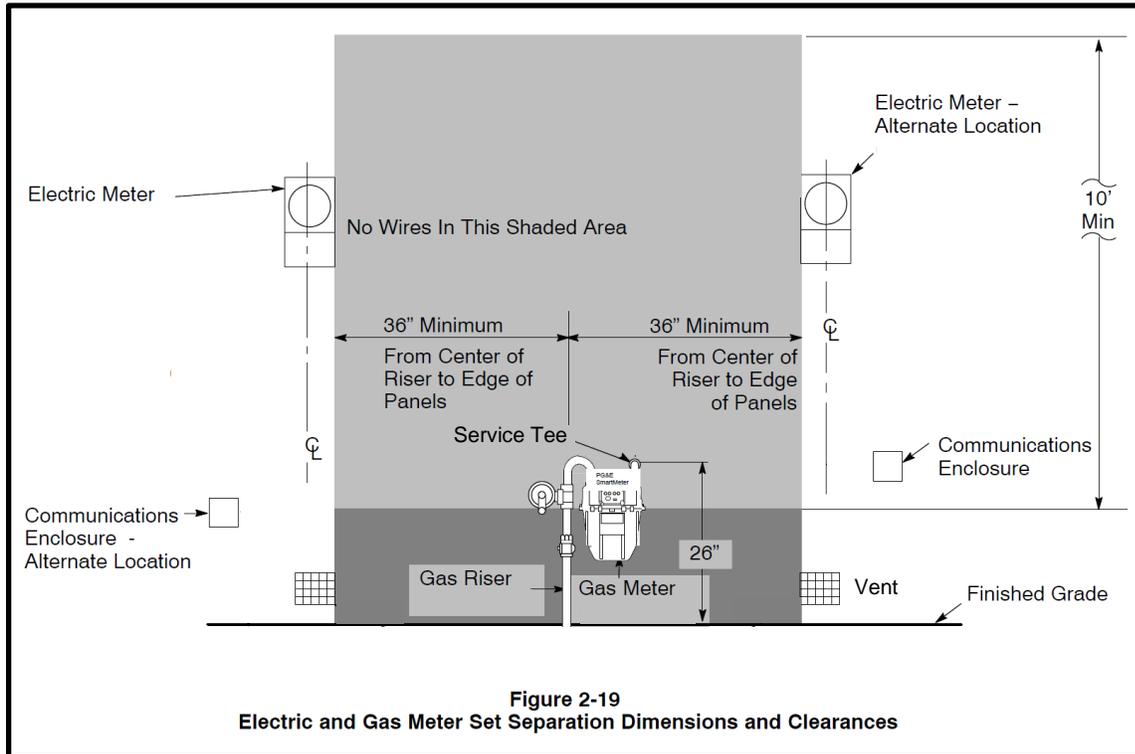
6.2. All metering equipment shall meet requirements set forth by EUSERC; SMUD's ESR's T002 and T003; SMUD Policy and Procedure 11-01, *Interconnection Guideline*; and to this ESR T014.

6.3. Changes or modifications to the approved design shall not be made without prior approval. If changes or modifications are desired, the Customer shall submit the revised plans including the changes or modifications for SMUD approval.

6.4. The accuracy of the Power Production Meter to monitor the true output of the DG system is very important to SMUD. Therefore, no other loads can be connected between the Power Production Meter and the DG equipment. The allowed related loads can include tracking motors, cooling fans, monitoring and metering, etc. Note: Generation connected between the meter and the main (Option 2) may *only* include monitoring and metering per 2017 NEC 230.82. Please reference on your design that the Combiner / Sub-Panel will be sized to only accommodate the DG and/or ESS breakers. If a larger sized Combiner / Sub-Panel is used, then the panel shall be rendered unable to accept any additional breakers or loads. The equipment needs to be called out on the drawing with the following text "DG load center sized for DG breakers only or rendered unable to accept additional loads".

For installations that include ESS with DG, or have just standalone ESS, the metering requirements will depend upon the connection(s) to the critical loads.

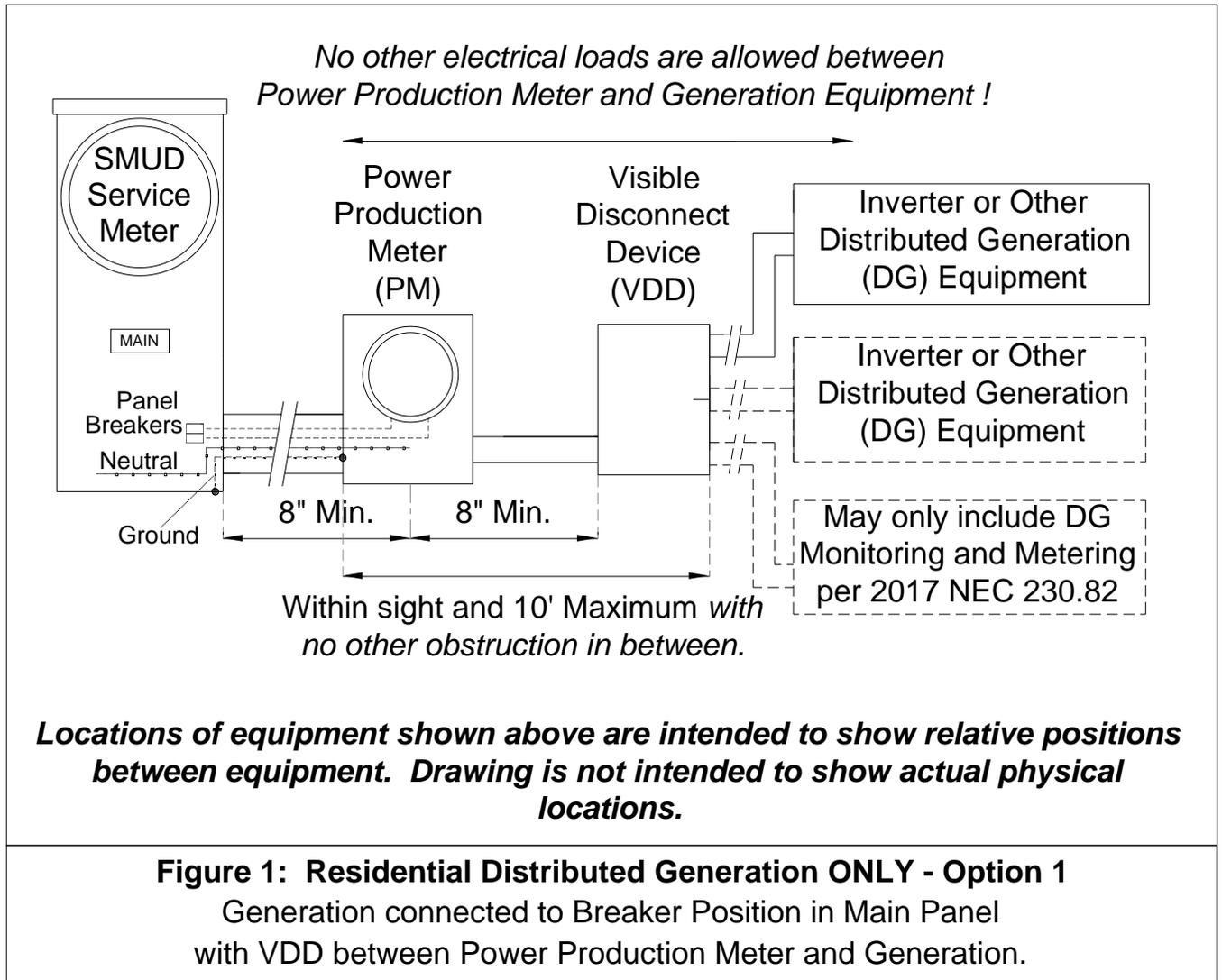
- 6.5. Note that PG&E does not allow any meters or electrical equipment within 36 inches (36") of a gas riser. Please refer to PG&E's website for their latest edition of *PG&E's Electric & Gas Requirements* – portion of Figure 2-19 shown below. Other gas companies may have different requirements.



- 6.6. For installations where the existing Utility Service Meter is in the back yard, it is permitted to install the Power Production Meter within sight and 10' of the Utility Service Meter. Every effort should be made to keep the SMUD meters on the accessible side of the fence.

7. SMUD Requirements

SMUD conditions customer's interconnection on the installation of a Power Production Meter (PM). Where Power Production Meter(s) are installed, a disconnecting means shall be located such that the inverter(s) can be serviced without de-energizing the production meter. A Combiner / Subpanel's breakers or a Visible Disconnect Device are acceptable as this disconnecting means.



- 7.1. **Residential⁽¹⁾ – DG only, No ESS - Option 1:** Generation connected to a breaker position in Main Panel located at the opposite end of the bus from the Main breaker or otherwise located per applicable NEC code.

⁽¹⁾ If the Residential generation is 20 kW or greater, there may be additional equipment (Current Transformers, Potential Transformers, etc.) installed to interconnect the generation with SMUD's meter.

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7.1.1. There are 2017 National Electric Code requirements that limit the breaker size in the Main Panel that connects to the generation. Section 705.12(B,2,3,b) states that the maximum size of this breaker is limited by the size of the bus bar within the Main Panel and by the size of the Main breaker. The size of the Main breaker plus the size of the breaker for the generation circuit cannot exceed 120% of the bus bar’s ampacity rating.

- Example 1: for a bus bar rating of 200 Amps and a Main breaker rated 200 Amps, the maximum available breaker size for the generation is:
 $(120\%) \times (200 \text{ Amp bus bar}) = 240 \text{ Amps} - 200 \text{ Amp Main breaker} = 40 \text{ Amps}.$
- Example 2: for a bus bar rating of 200 Amps and a Main breaker rated 175 Amps, the maximum available breaker size for the generation is:
 $(120\%) \times (200 \text{ Amp bus bar}) = 240 \text{ Amps} - 175 \text{ Amp Main breaker} = 65 \text{ Amps}.$

7.1.2. SMUD will pay a stipend towards the purchase of the Power Production Meter (PM) socket and Visible Disconnect Device (VDD). It is the Customer’s responsibility to install the devices. They shall be located within sight and within ten feet (10’) of each other ***with no other obstruction(s) in between.*** Refer to Figure 1 for location requirements of devices. Go to <https://smudinterconnect.powerclerk.com/MvcAccount/Login> for information regarding stipend amounts.

7.1.3. It is highly desired that the breaker / disconnect in the inverter have the ability to be locked in the open position.

7.1.4. 2017 NEC Section 705.12(B,2,3,c) provides an additional method to determine the maximum allowed size of the breaker serving the generation circuit. The sum of all ratings of the breakers in the panel (not including the Main breaker) cannot exceed the ampacity of the bus bar’s rating. This section also requires that permanent warning labels shall be applied to distribution equipment that displays the following or equivalent wording:

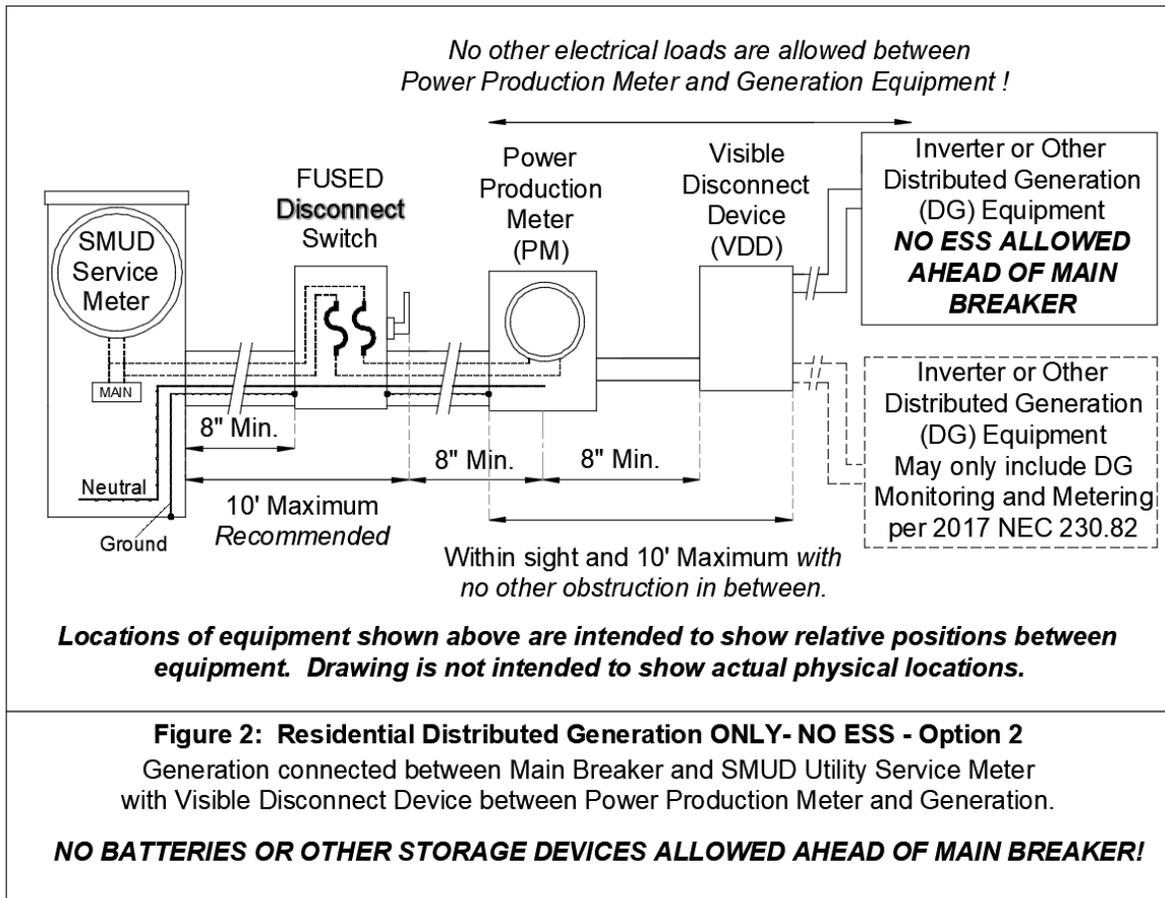
WARNING:
THIS EQUIPMENT FED BY MULTIPLE SOURCES.
TOTAL RATING OF ALL OVERCURRENT DEVICES,
EXCLUDING MAIN SUPPLY OVERCURRENT DEVICE,
SHALL NOT EXCEED AMPACITY OF BUSBAR.

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7.1.5. The 2017 NEC has a requirement for the labeling of the breaker that connects to the generation source. Section 705.12(B,2,3,b) states “A permanent warning label shall be applied to the distribution equipment adjacent to the back-fed breaker from the inverter that displays the following or equivalent wording:

WARNING:
POWER SOURCE OUTPUT CONNECTION -
DO NOT RELOCATE THIS OVERCURRENT DEVICE.

7.1.6. ***As mentioned earlier, the NEC and the County / City Electrical Inspector for your area may have requirements for your generation system that are beyond what is listed here. Please check with your local Inspector to make sure your plans meet their requirements.***



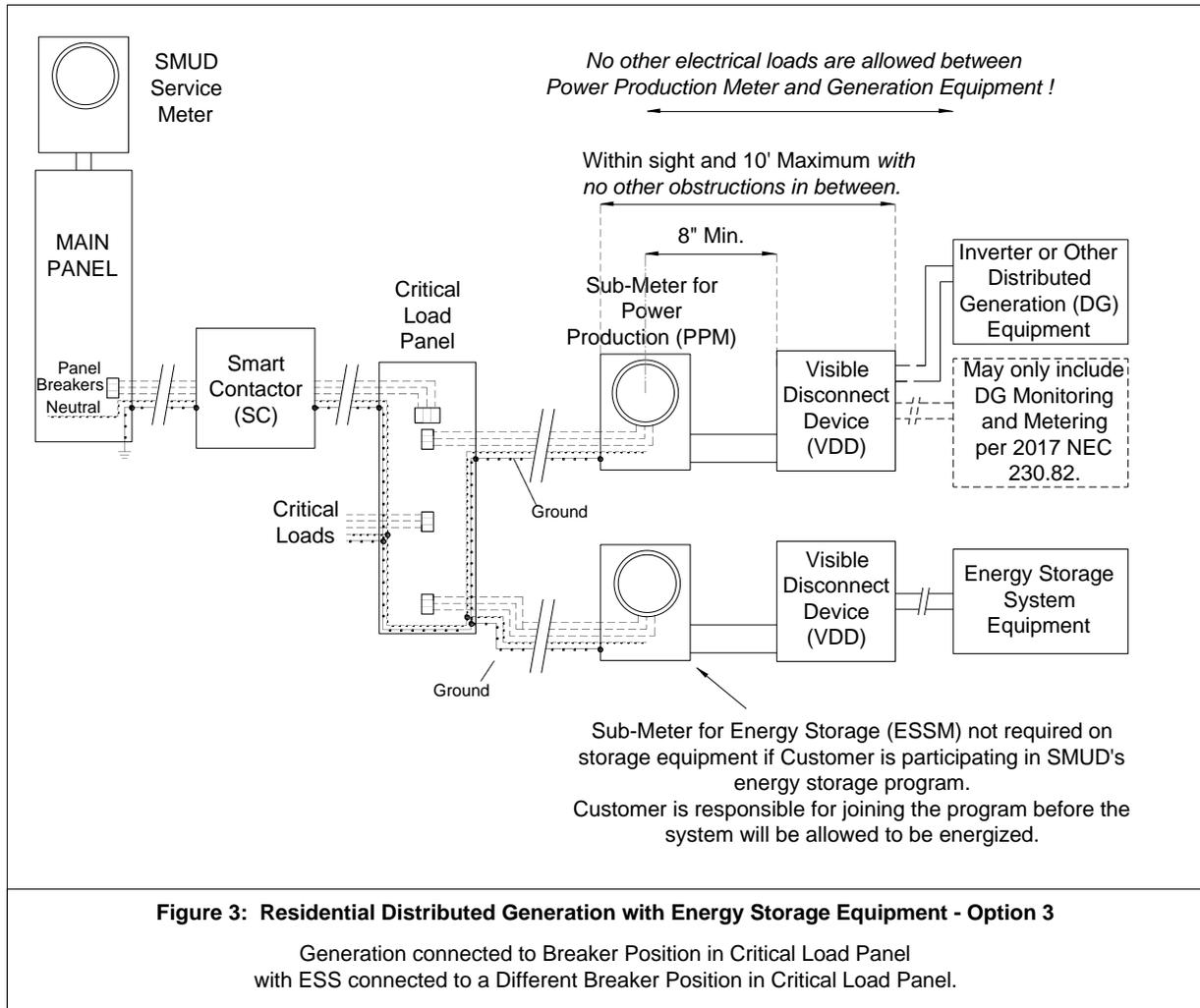
7.2. **Residential – DG Only, No ESS – Option 2:** Generation circuit is connected between SMUD’s Utility Service Meter and the Panel’s Main breaker. A FUSED Disconnect Switch needs to be installed between the Main Panel and the Power Production Meter (2017 NEC 230). All other items from 7.1.2 through 7.1.6 apply.

Note: Energy Storage Systems (e.g., batteries) that charge from utility AC power are considered LOADS, and these loads are not included in those permitted by NEC 230.82(5). As such, these storage systems may **NOT** be connected in this location. Energy Storage Systems that are configured to only charge from some other source (such as the DC bus of a PV system) are considered generation, and may connect in this location per NEC 230.82(6).

7.2.1. The FUSED Disconnect Switch should be as close as possible to the Main Panel, and it is recommended that it be within ten feet (10’) of the Main Panel. Please consult with the AHJ as to the maximum distance allowed from the Main Panel.

7.2.2. 2017 NEC section 705.12(A) states “The sum of the ratings of all overcurrent devices connected to the power production sources shall not exceed the rating of the service.”

7.3. Residential – DG and ESS – Option 3: Generation connected to a breaker position in a Critical Load panel with an ESS connected to a separate breaker position in the Critical Load panel.



- 7.3.1. As with previous options, there can be no other load between the Power Production Meter and the DG equipment, other than loads necessary for the monitoring and metering of the DG equipment.
- 7.3.2. A Smart Contactor needs to be installed between the Main Panel and the Critical Load Panel. This contactor will open and isolate the DG and ESS from the Utility System should the Utility System experience an outage. This will allow the DG and ESS to continue to power the Critical Loads.
- 7.3.3. All applicable NEC requirements mentioned in previous options still apply, in addition to the NEC requirements that apply to ESS.
- 7.3.4. Labeling is needed on the exterior of all panels and on the breaker in the Main Panel to the effect that DG and ESS are connected to them.

- 7.3.5. All energy sources into the home will need to have a disconnect switch on the exterior of the structure, next to the existing Utility Service Meter. The disconnect switch for the ESS will need to be within ten-feet (10') and within visual sight of the Utility Service Meter.
- 7.3.5.1. If the Utility Service Meter is in a meter room, the ESS disconnect switch does not have to be on the exterior of the building. The ESS disconnect switch should be in the meter room with the existing Utility Service Meter.
- 7.3.5.2. If there is no space on the exterior wall of the structure for the ESS disconnect switch to comply with the requirements above, or with the 36" of gas riser rules (discussed in Section 6.5 above), then a geolocation placard will be required at each disconnect switch (for the ESS and for the Utility Service Meter).
- 7.3.5.3. ESS disconnect switch, (meter if applicable), Power Production meter and disconnect switch (if applicable) should always be installed on the street side of a fence or gate. If the existing Utility Service meter is behind the fence, then the Power Production meter can be installed behind the fence.
- 7.3.5.4. A fence or gate between the Utility Service Meter and ESS disconnect switch and/or Power Production meter and disconnect switch would *NOT* be considered to be within line of sight
- 7.3.6. **If the ESS is located greater than five feet (5') from the Critical Load Panel**, or if the conductors pass through a wall panel, or if the ESS is out of sight from the Critical Load Panel, provisions 7.3.5.1 through 7.3.5.5 shall apply:
- 7.3.6.1. A disconnecting means and overcurrent protection shall be provided at the energy storage device end of the circuit. Fused disconnecting means or circuit breakers shall be permitted.
- 7.3.6.2. Where fused disconnecting means are used, the line terminals of the disconnecting means shall be connected toward the energy storage device terminals.
- 7.3.6.3. Overcurrent devices or disconnecting means shall not be installed in energy storage device enclosures where explosive atmospheres can exist.
- 7.3.6.4. A second disconnecting means located at the connected DG equipment shall be installed where the disconnecting means required by (7.3.5.1) is not within sight of the connected DG equipment.

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- 7.3.6.5. Where the energy storage device disconnecting means is not within sight of the PV system AC and DC disconnecting means, placards or directories shall be installed at the locations of all disconnecting means indicating the location of all disconnecting means.
- 7.3.7. Photovoltaic power systems using utility-interactive inverters to control battery state-of-charge by diverting excess power into the utility system shall comply with 7.3.7.1 and 7.3.7.2 below:
- 7.3.7.1. These systems shall not be required to comply with NEC 690.72(B)(2). The charge regulation circuits used shall comply with the requirements of NEC 400.5.
- 7.3.7.2. These systems shall have a second, independent means of controlling the battery charging process for use when the utility is not present or when the primary charge controller fails or is disabled.
- 7.3.8. If your Critical Load Panel, or other storage equipment, is inaccessible (e.g., inside the garage, behind a locked fence, etc.) a SMUD employee will contact you to set up an appointment so that we may get access to the panel.
- 7.3.9. If the Critical Load Panel and ESS are located *inside* a garage (out of sight of the Main Service Panel), a second disconnect switch needs to be located between the Energy Storage System and the Critical Load Panel. If a fence is located between the Utility Service Meter and the ESS disconnect and/or Power Production meter, they are *NOT* considered to be within line of sight. This second disconnect switch needs to be **OUTSIDE** next to the Main Service Panel. The disconnects need to be labeled as shown in Figure 4 below.
- 7.3.9.1. The Disconnect for the ESS needs to be within ten feet (10') and within sight of the main panel.
- 7.3.9.2. One line drawings for ESS need to have labels of where the equipment is installed (e.g., inside garage).
- 7.3.9.3. Disconnects will need to be labeled "1 of 2" and "2 of 2" (and so forth if more than two). It is required that these labels be engraved so that they would still be readable if painted over. Font height will be a minimum of 3/8" tall. These labels shall be placed on the exterior of the disconnect switch(es) with their covers closed.

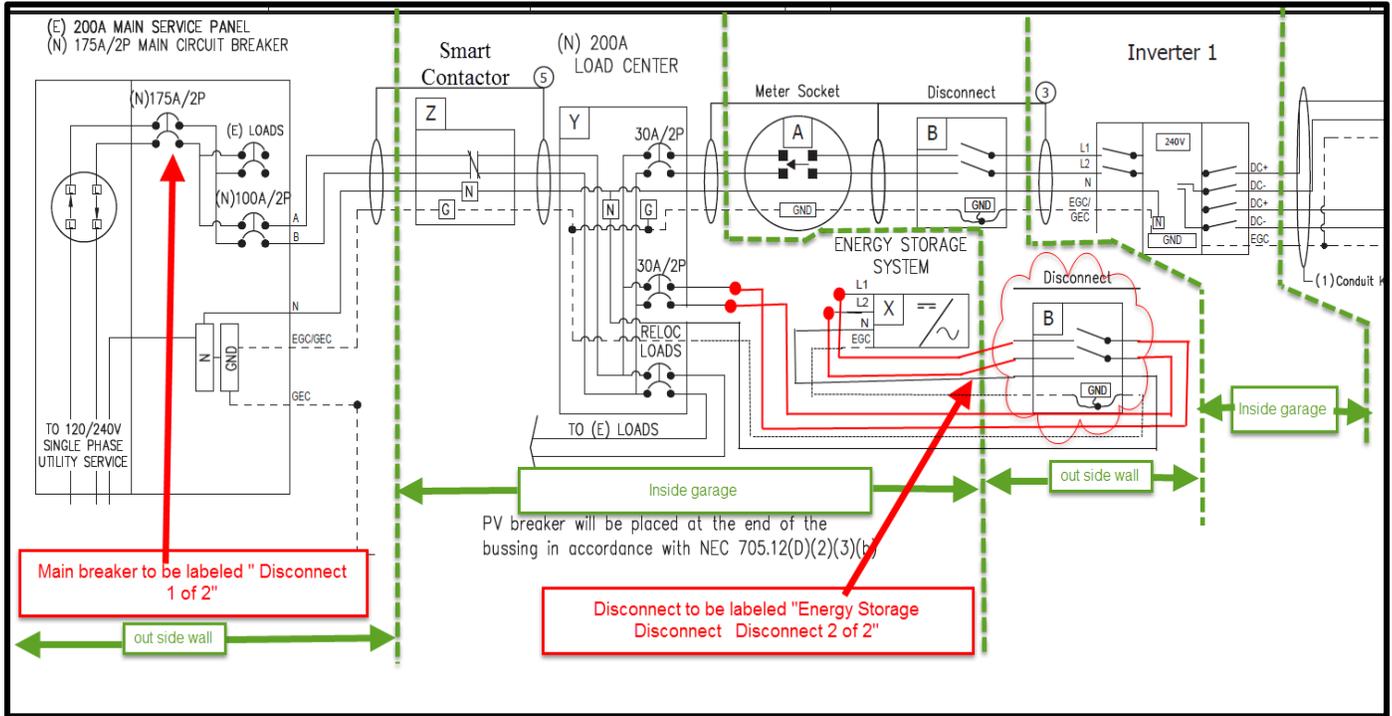
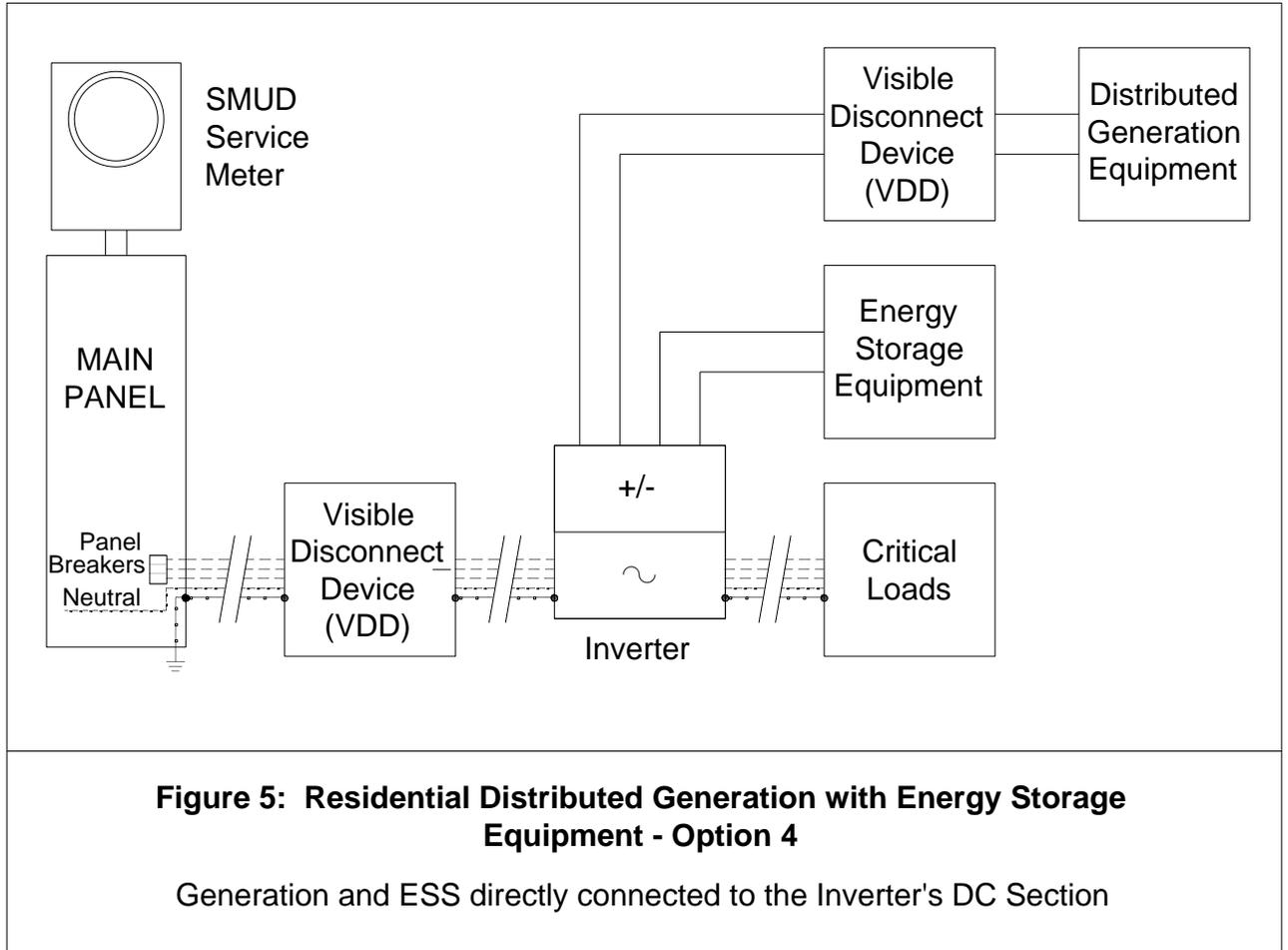


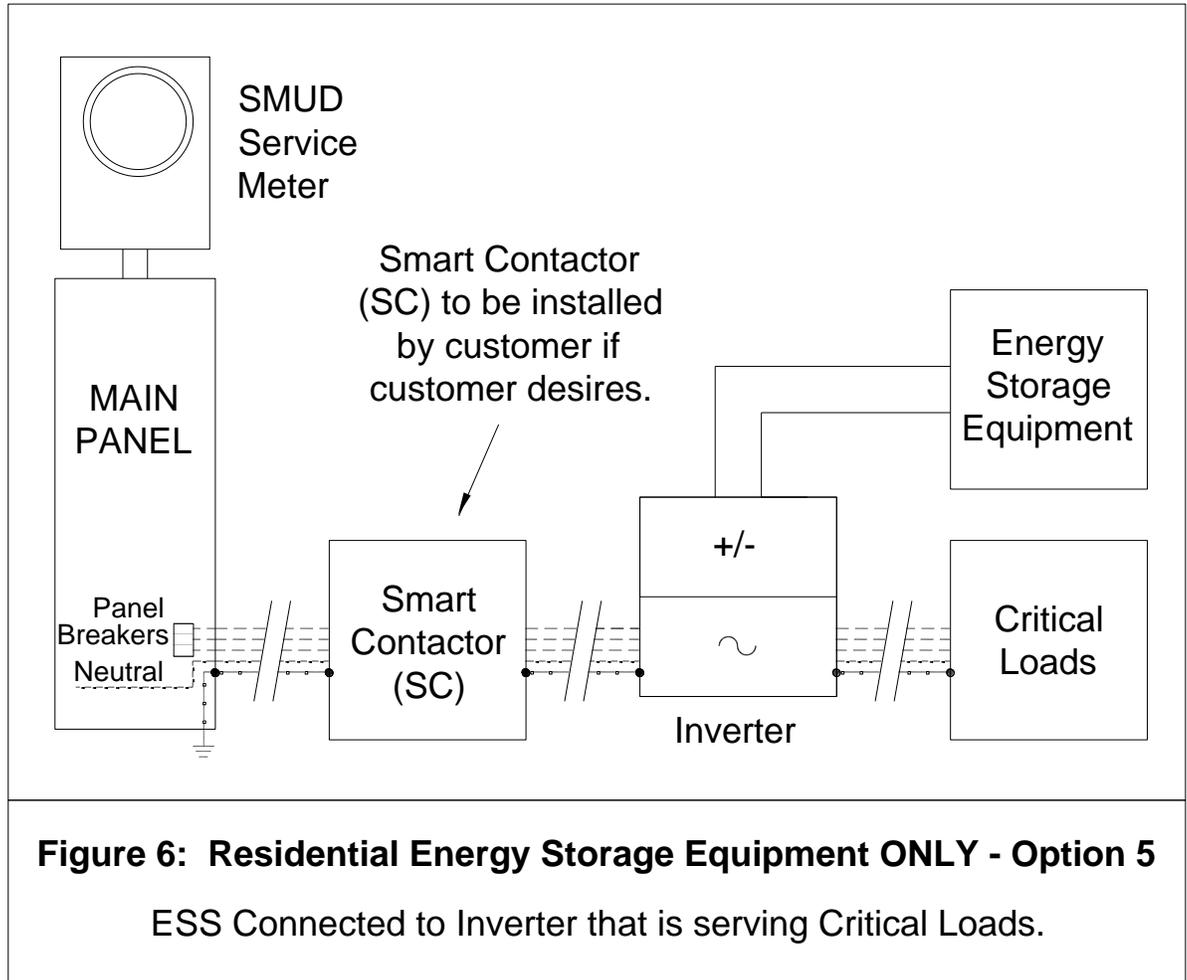
Figure 4 – The drawing above (from Tesla) shows an example of an additional disconnect, its location, and labeling, which would be required if the ESS is located in a garage.

7.4. Residential – DG and ESS – Option 4: Generation and ESS connected to the DC section of the same inverter.



7.4.1. Provisions in 7.3.1 through 7.3.9 above shall apply.

7.5. Residential – ESS only – Option 5: No DG – only ESS connected to the DC section of the same inverter that is serving the Critical Loads.



- 7.5.1. A Smart Contactor may be installed to isolate the ESS and Critical Loads from the Utility System should the Utility lose power.
- 7.5.2. Provisions in 7.3.1 through 7.3.9 above shall apply.

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8. Power Production Metering Requirements - General

- 8.1. Pursuant to 7.1.2, the Customer shall provide and install the necessary metering socket and cabinet(s) in locations approved by SMUD. A stipend will be provided by SMUD to help offset these costs. SMUD will furnish and install the Power Production Meter at no cost.
- 8.2. The Power Production Meter socket shall be wired so the output from the Customer's DG Source will be properly measured by the meter. Therefore, the DG shall be wired to the top clips of the PM. All phase conductors, one grounded conductor (neutral), and one grounding conductor (case ground) shall enter the Power Production Meter socket and they shall be labeled to differentiate between SMUD and DG Source.
- 8.3. The Disconnect Device, Power Production Meter, and the Visible Disconnect Device(s) will be installed in a location readily accessible by SMUD 24 / 7 / 365 and in keeping with SMUD's metering requirements in T002 and T003.
- 8.4. A Visible Disconnect Device should be located such that the Power Production Meter remains energized from the Main Panel during Inverter replacements or during maintenance on the DG and/or ESS equipment.
- 8.5. Under no circumstances shall any metering enclosure be used as a conduit or raceway for any conductors. Only the phase conductors terminated in the meter socket and the associated grounded conductor (neutral) and grounding conductor (equipment ground) are allowed.
- 8.6. Arrangement and Location - The Power Production Meter shall be located within sight and 10 feet of a Visible Disconnect Device **with no other obstruction(s) between them**. Where physical limitations prohibit this, alternate arrangements shall be made and approved by SMUD (e.g., installation of additional Visible Disconnect Device(s), labeling as per NEC and Authority Having Jurisdiction, etc.).
- 8.7. Equipment Protection and Grounding - All related metering enclosures and equipment shall be grounded in compliance with the NEC and the local Authority Having Jurisdiction.
- 8.8. All equipment shall be approved by a National Recognized Testing Laboratory (NRTL).
- 8.9. SMUD will be responsible for maintenance, repair, and/or replacement of the Power Production Meter. The Customer will be responsible for the maintenance, repair, and/or replacement of the Power Production Meter socket, Visible Disconnect Device(s), switch(es), and/or Combiner / Sub-Panel.

8.10. After installation, SMUD employees will not energize the DG system. This will be the responsibility of the Customer / Contractor. It will also be the responsibility of the Customer to monitor the production of the DG system.

9. AC Disconnects (where applicable)

The Disconnect Device(s) will isolate all ungrounded (hot) conductors of the generating facility and/or ESS from SMUD’s distribution system. The switch/breaker shall be a gang-operated, load-break rated device.

10. Labeling

Labels shall conform to the current California Electric Code, NEC, and Authority Having Jurisdiction.

Example of panel types *

Eaton/B-Line (catalog #)	
Residential	011

* or similar from another manufacturer. Please note that Catalog # changes with the number of clips in socket.

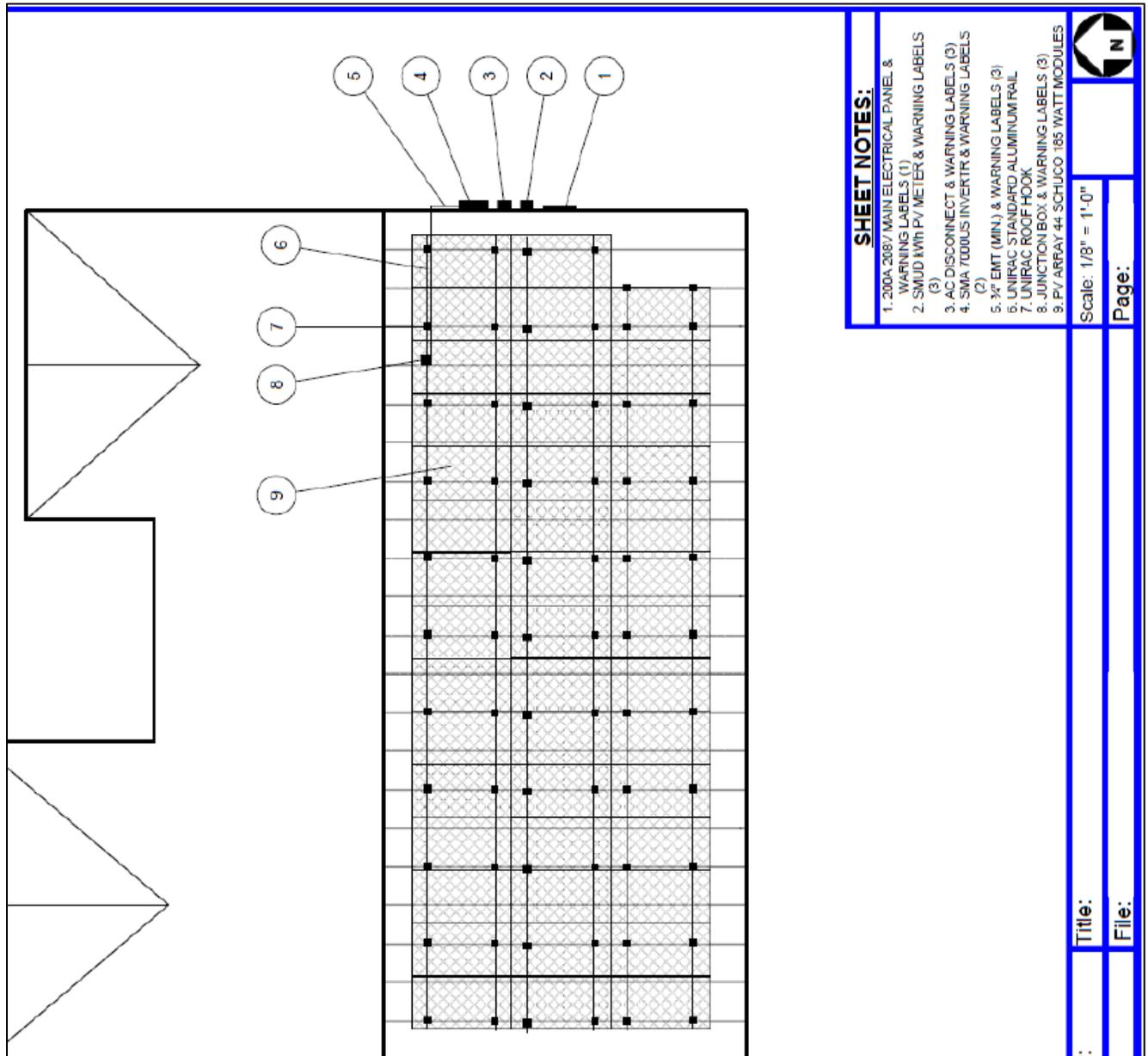


Figure 7 - Sample Site Plan (Partial View)

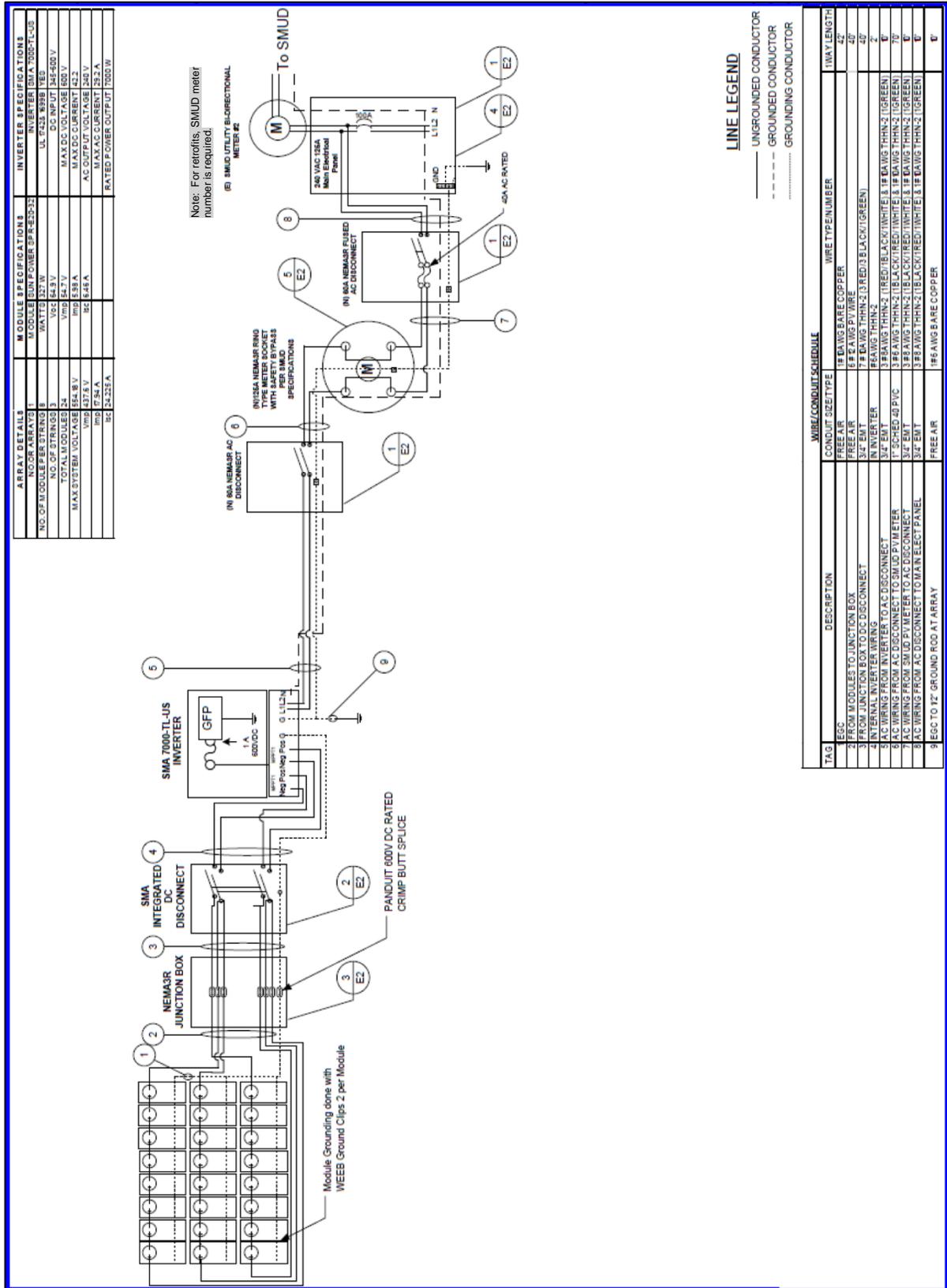


Figure 8 - Sample Cut Sheet PV Connected Between Meter and Main Breaker